

# Strategic Initiatives in Graduate Education at the National Science Foundation



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**Moderator:** Brian Mitchell, CGS Dean-in-Residence

Annual Conference CGS



# Goals of Session

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- I. **Provide an Overview** of NSF's current context for graduate education and preparation of the future workforce
  
- II. **Highlight** how NSF (and DGE specifically) is addressing graduate preparedness through changes to its programs (GRFP, NRT)
  
- III. **Gather Your Input:** what should NSF be thinking about as we develop new initiatives to support graduate education?

# Improved Graduate Student Preparedness



Roger M. Wakimoto, Assistant Director  
Directorate for Geosciences  
National Science Foundation  
December 4, 2015



# National Science and Technology Council (NSTC) Committee on STEM Education Federal STEM Education 5-Year Strategic Plan

([https://www.whitehouse.gov/sites/default/files/microsites/ostp/stem\\_stratplan\\_2013.pdf](https://www.whitehouse.gov/sites/default/files/microsites/ostp/stem_stratplan_2013.pdf))

- Enhance the undergraduate STEM experience of undergraduate students.
- Design graduate education for tomorrow's STEM workforce.



## Science PhDs' First Jobs in 2013

<b>Academia:</b>	<b>29%</b>
<b>Government:</b>	<b>9%</b>
<b>Industry:</b>	<b>55%</b>
<b>Nonprofit:</b>	<b>4%</b>
<b>Other:</b>	<b>3%</b>



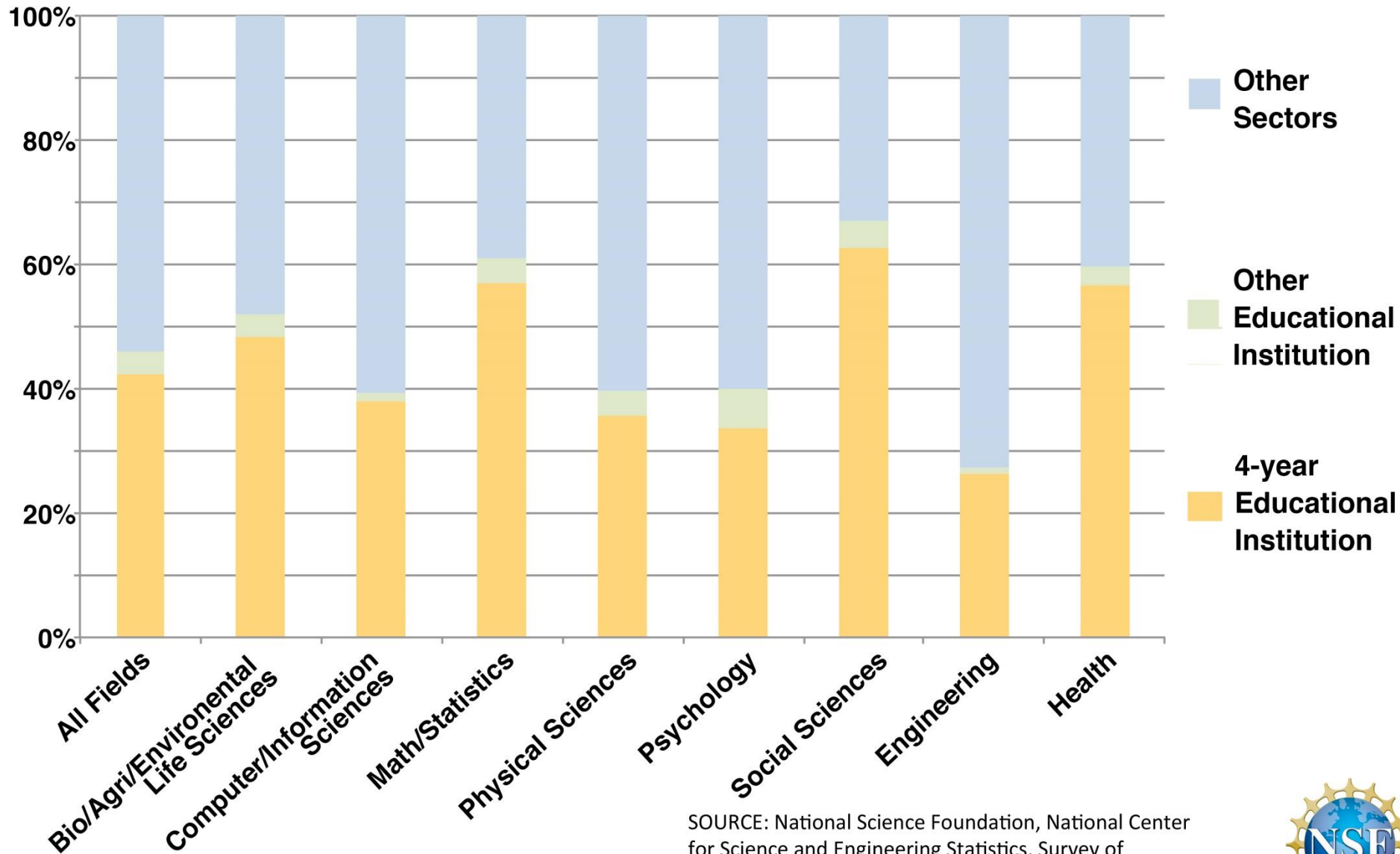
## Primary Work Activity of Employed STEM Doctoral Scientists and Engineers (SDR 2013)

Primary Work Activity	Number (%)
<b>Total Non-R&amp;D</b>	<b>430,100 (60%)</b>
Management, Sales, and Administration	137,100 (19%)
Teaching	140,800 (20%)
Other	152,200 (21%)
<b>Research and Development (R&amp;D)</b>	<b>290,700 (40%)</b>
Basic Research	86,300 (12%)
Applied Research	135,700 (19%)
Design and Development	68,800 (9%)
All	720,800

Source: Survey of Doctoral Recipients 2013, NCSES



# Employed science, engineering and health doctorates, by field of doctorate and sector of employment: 2013

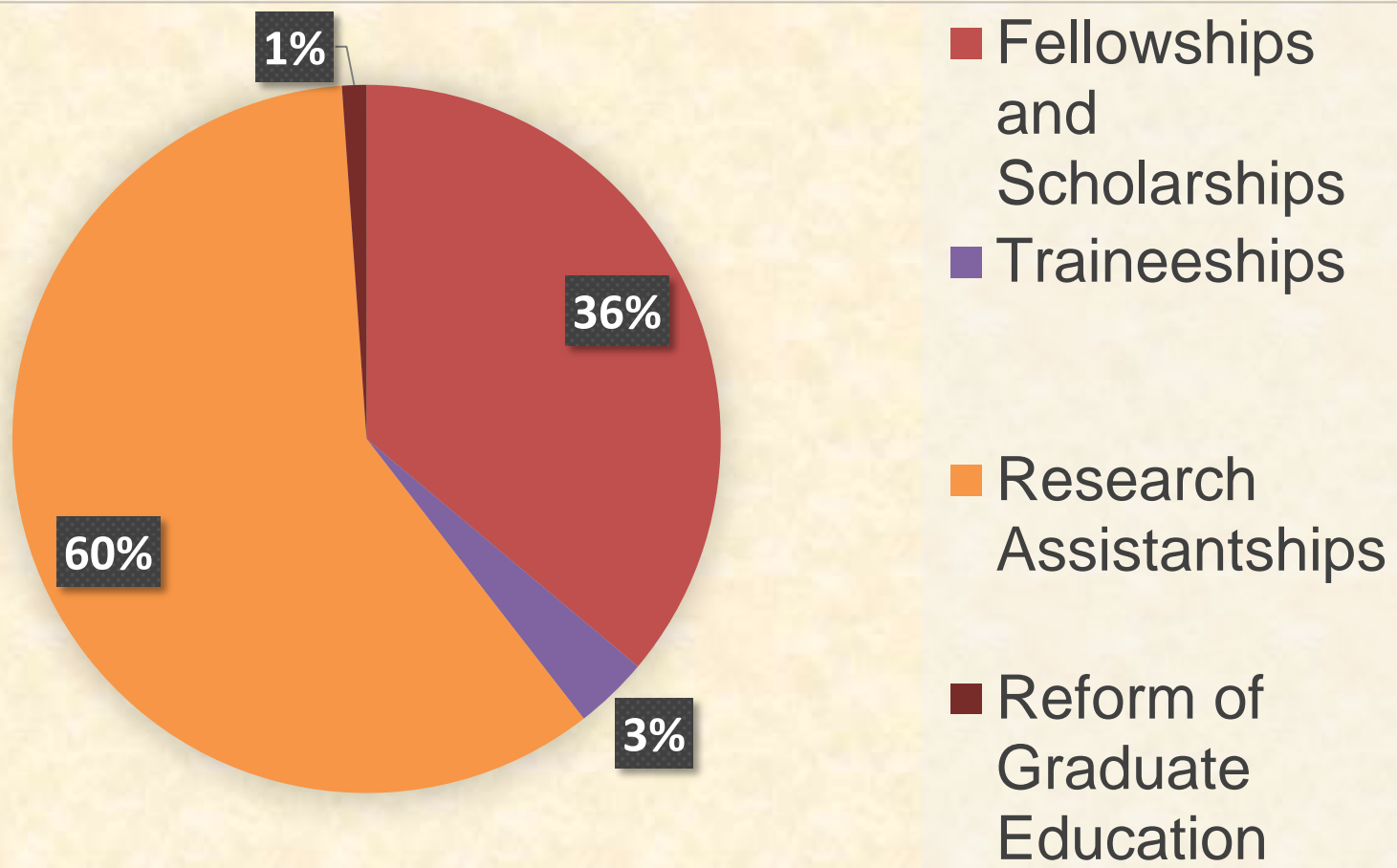


SOURCE: National Science Foundation, National Center for Science and Engineering Statistics, Survey of Doctorate Recipients, 2013.



# NSF Graduate Education Investments

**\$985.68 million**  
**(FY 2014 Estimates)**





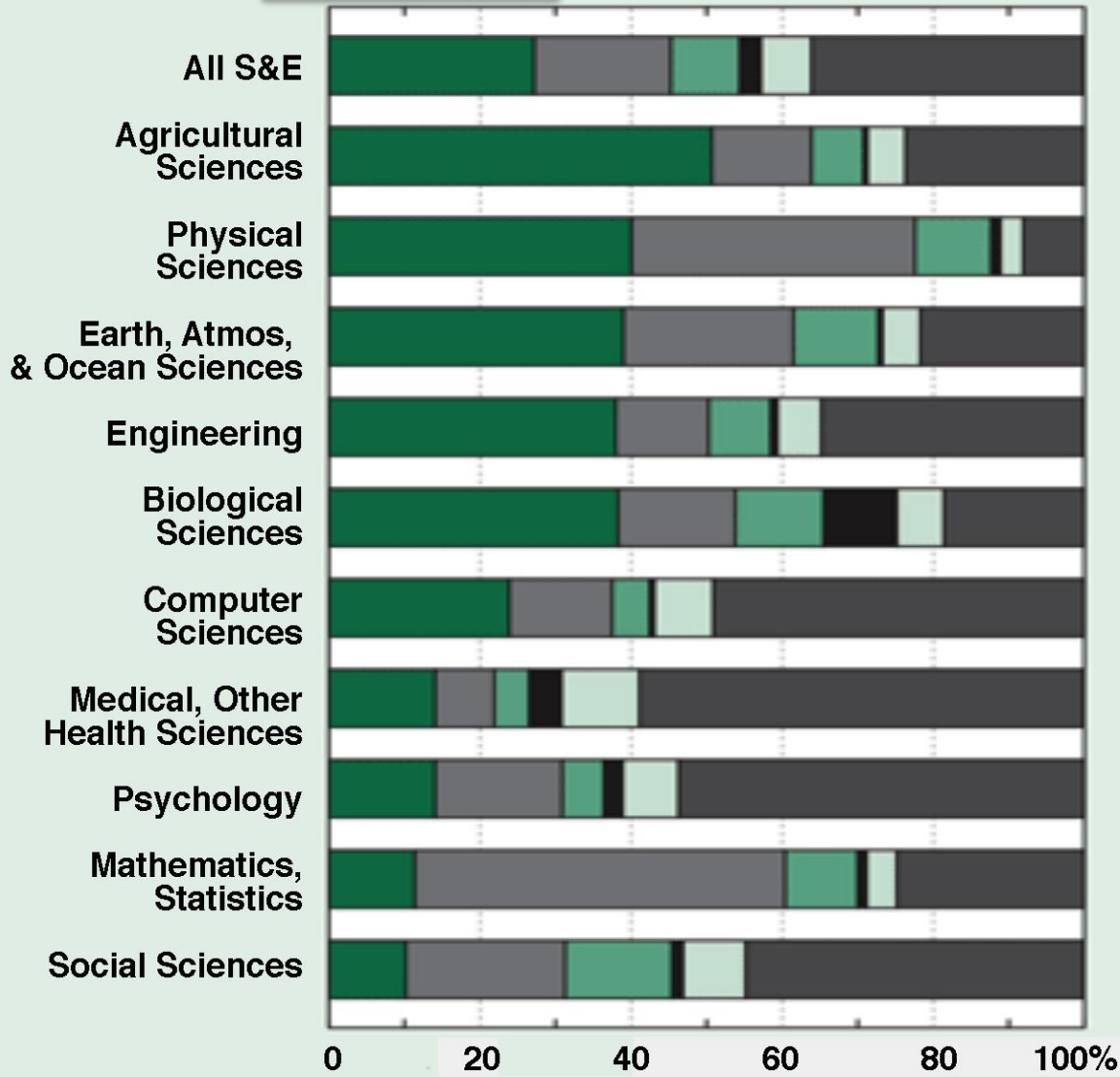
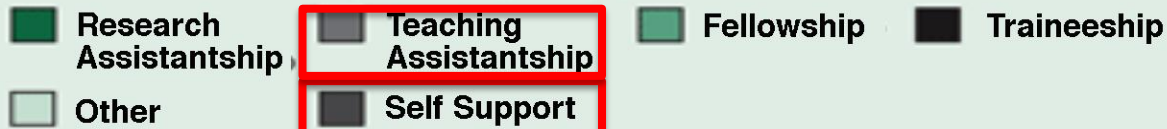
# NSF

RAs – 60%

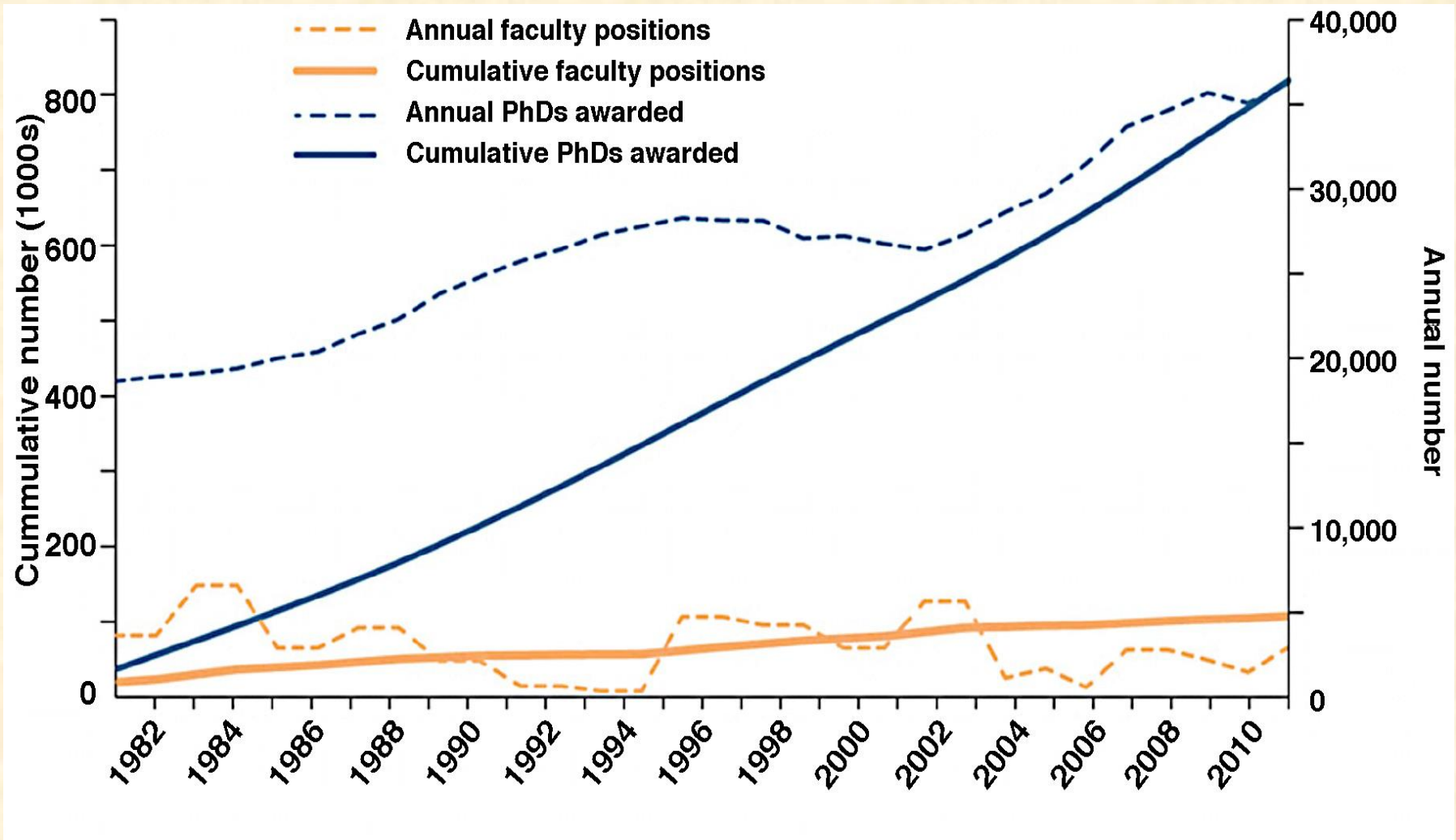
Scholarships/Fellowships – 36%

Traineeships – 3%

## Full-time S&E graduate students, by field and mechanism of primary support: 2011



# Available STEM Faculty Positions versus New STEM PhDs

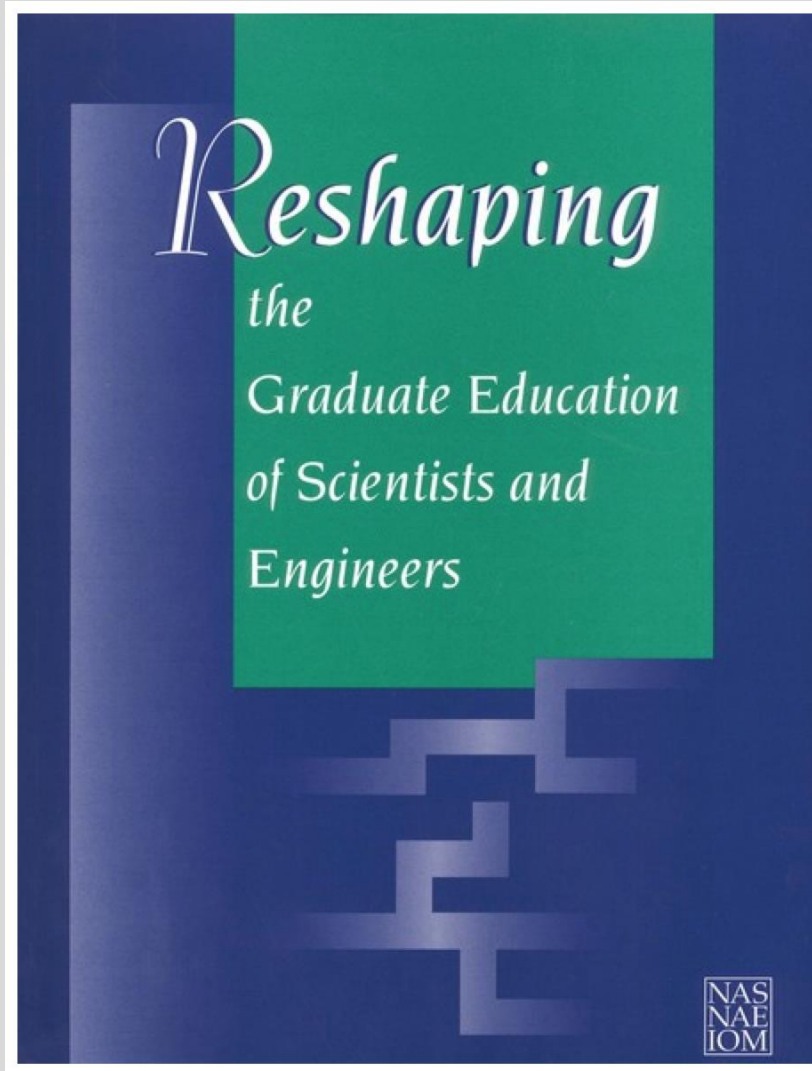


Data source: NSF 2011, 2012

Schillebeeckx et al. 2013



# 1995



Committee on Science, Engineering and Public Policy  
(COSEPUP), 1995, National Academy Press



- Are we producing too many PhDs?
- Does the current graduate education system adequately prepare science and engineering students for today's marketplace?
- How do foreign students enter the picture?
- What should be the PhD of the future?





# ADVANCING GRADUATE EDUCATION *IN THE* CHEMICAL SCIENCES

Summary Report of an  
ACS Presidential  
Commission



2012



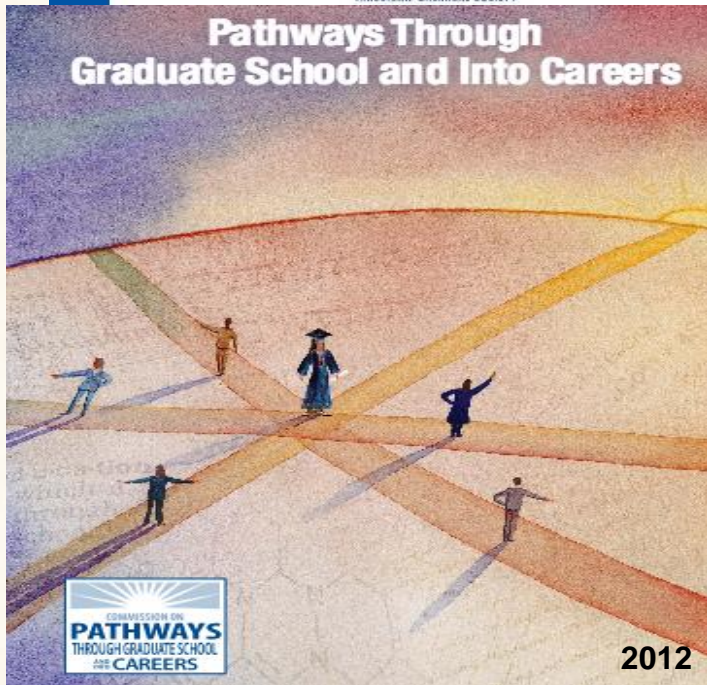
## RESEARCH UNIVERSITIES AND THE FUTURE OF AMERICA

### Ten Breakthrough Actions Vital to Our Nation's Prosperity and Security

NATIONAL RESEARCH COUNCIL  
OF THE NATIONAL ACADEMIES

2012

## Pathways Through Graduate School and Into Careers



2012

Biomedical Research Workforce Working Group Report

## Biomedical Research Workforce Working Group Report

A Working Group of the Advisory Committee to the Director

National Institutes of Health

June 14, 2012



# Deficiencies Identified in STEM Graduate Education

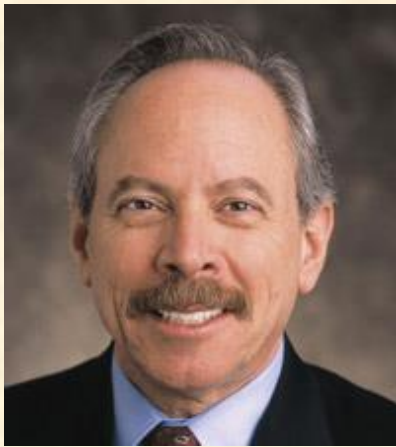
- PhD completion rates are low.
- Graduate education is not aligned with disciplinary, workforce, societal, and student needs.
- The master's degree is undervalued.
- Graduate students are narrowly trained and lack transferrable professional skills.
- Graduate student career mentoring is narrowly focused on academe, though the majority of graduate degree recipients will pursue and have non-academic careers.



# Selection of Recommendations

- Enhance/expand professional skills training
- Prepare students for multiple career pathways
- Create incentives for university-industry partnerships, including internships
- Enhance interdisciplinary training/collaborations
- Apply evidence-based approaches to increase retention and reduce time to degree
- Give much greater attention to mentoring
- Link graduate programs with undergraduate research programs, particularly as a means of broadening participation





**Alan Leshner, CEO Emeritus AAAS  
Science (2015)**

*.....“graduate training in science has followed the same basic format for almost 100 years, heavily focused on producing academic researchers. Given that so many students will not join that community, the system is failing to meet the needs of the majority of its students”.....*

*.....“relatively new government programs and curriculum supplements are positive steps that are likely to give students greater career flexibility.... However, these efforts are limited in scope”.....*

*.....“what is needed is a fundamental system analysis and reconfiguration that results in graduate training programs that are better designed to meet the diverse career needs”.....*





**Agency Priority Goal: FY16-17**  
***STEM Graduate Student Preparedness***

Pramod Khargonekar (ENG)

Roger Wakimoto (GEO)

*Alexandra Isern*

*Nirmala Kannankutty*

**Goal Statement**

- To provide STEM doctoral students opportunities to expand their knowledge and skills and prepare for a range of careers and for entering the workforce



## Priority Goals (cross-agency and single agency)

- a tool used by leadership to **accelerate progress** on a limited number of Presidential priority areas
- includes specific **metrics and milestones** that will be used to gauge progress
- using goals and measurements reinforces priorities, motivate action, and **illuminate paths** to improvement



# Goals of this effort

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*To provide multiple opportunities for science and engineering doctoral students to acquire the knowledge, experience, and skills needed for highly productive careers, inside and outside of academe.*

- Encourage enhanced mentoring of skills beyond those needed in academia;
- **Encourage theory and/or evidence-based strategies** to enhance and expand training in essential professional skills;
- Enhance interdisciplinary training and collaborations through development of activities that encourage graduate student preparedness for entering the workforce.



# STEM graduate student preparedness

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## ***Current activities related to this Priority Goal:***

- ***Graduate Research Opportunities Worldwide (GROW)***
- ***Graduate Research Internship Program (GRIP)***

An agency-wide effort directed at the specific goal of increased graduate student preparedness for the workforce is still needed.



# STEM graduate student preparedness

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- ***Supplements*** to existing awards
  - ***Enhanced experience*** - single/collaborative awardees for existing graduate students to acquire professional development experience
  - ***Enhanced activities*** – available to larger “center-like” activities to support cohorts of graduate students with the goal of developing new “best practice activities” for enhancing graduate student preparedness.
- ***Summer institutes*** that propose convincing, theory or evidence-based strategies for providing students with professional development in areas that have been identified as being essential for workforce preparedness.



**Dear Colleague Letter to solicit applications for supplements is planned for release early next year**

**A Solicitation for proposals to support summer institutes should be released soon after**



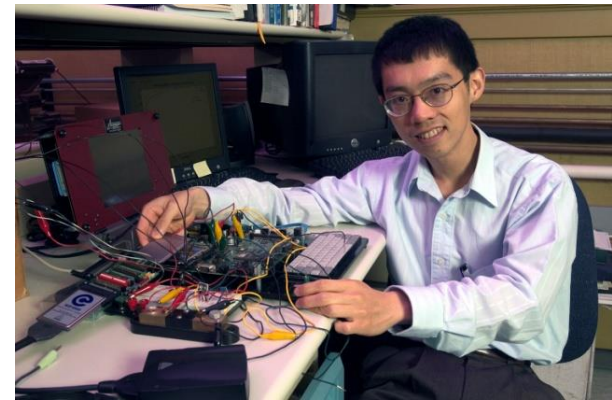




## II. Division of Graduate Education

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- Supports U.S. graduate students and innovative graduate programs to prepare tomorrow's leaders in STEM.
- Provides leadership for the use and conduct of research to inform implementation of approaches, practices, and models for STEM professional workforce development





# Division of Graduate Education Portfolio

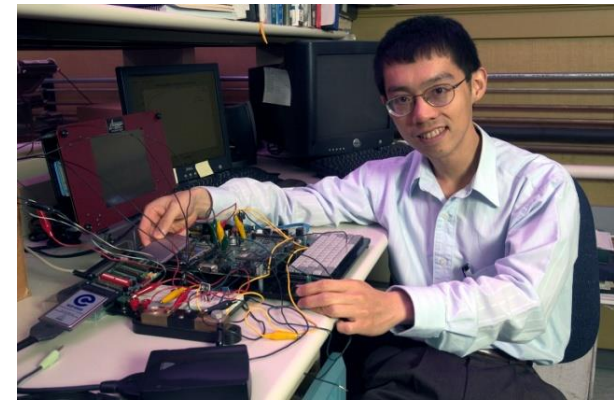
**Graduate Research  
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Program**

**NSF Research  
Traineeship  
Program**

**CyberCorps  
Scholarship for  
Service**

**EHR Core  
Research:  
Workforce  
Development**

**Project and  
Program  
Evaluation**







# Division of Graduate Education Portfolio

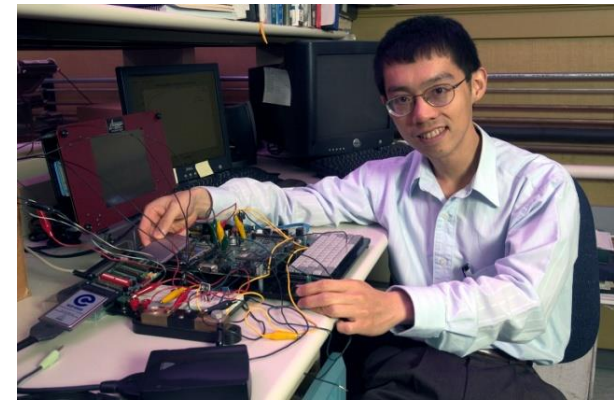
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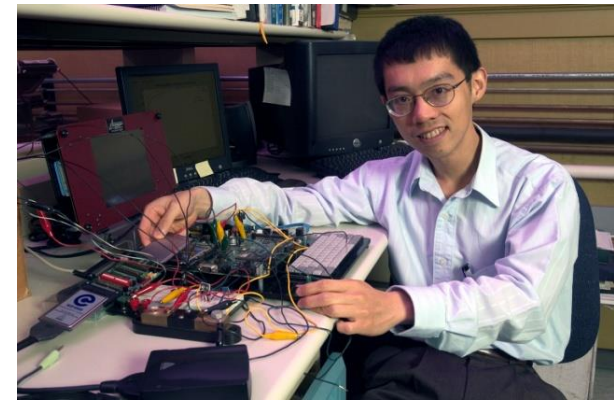


# Graduate Research Fellowship Program



## GOALS:

- To select, recognize, and financially support, **early in their careers**, individuals with the demonstrated potential to be high achieving scientists and engineers.
- To broaden participation in science and engineering of underrepresented groups, including women, minorities, persons with disabilities and veterans.





# GRFP Update

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Launch new longitudinal study of career outcomes of Fellows to assess program impact

- Develop and pilot a GRFP survey instrument and process
- Census survey of six cohorts of recent Fellows in 2016 and 2017

## **GOALS**

- Implement a permanent monitoring system for NSF to follow cohorts of Fellows over time.
- Use the data as part of a larger evaluation of the GRFP



## Update on Professional Development Opportunities

- **GRIP (Graduate Research Internship Program)**
  - conduct research in federal agencies/facilities
- **GROW (Graduate Research Opportunities Worldwide)**
  - conduct collaborative research with host researchers in partner countries



# Graduate Research Internship Program

Fellows conduct mission-related, collaborative research projects at federal facilities and national laboratories.

## Partner Agencies

Department of Homeland Security

Environmental Protection Agency

Federal Bureau of Investigation

National Oceanic and Atmospheric Administration

Office of Naval Research

Smithsonian Institution

U.S. Census Bureau

U.S. Geological Survey



# Graduate Research Opportunities Worldwide

Fellows engage in **research collaborations** with investigators in partner countries through agreements between NSF and counterpart agencies.

## Partner Countries

Australia

Finland

Japan

Norway

Austria

France

Korea

Singapore

Brazil

India

Mexico

Sweden

Chile

Ireland

Netherlands

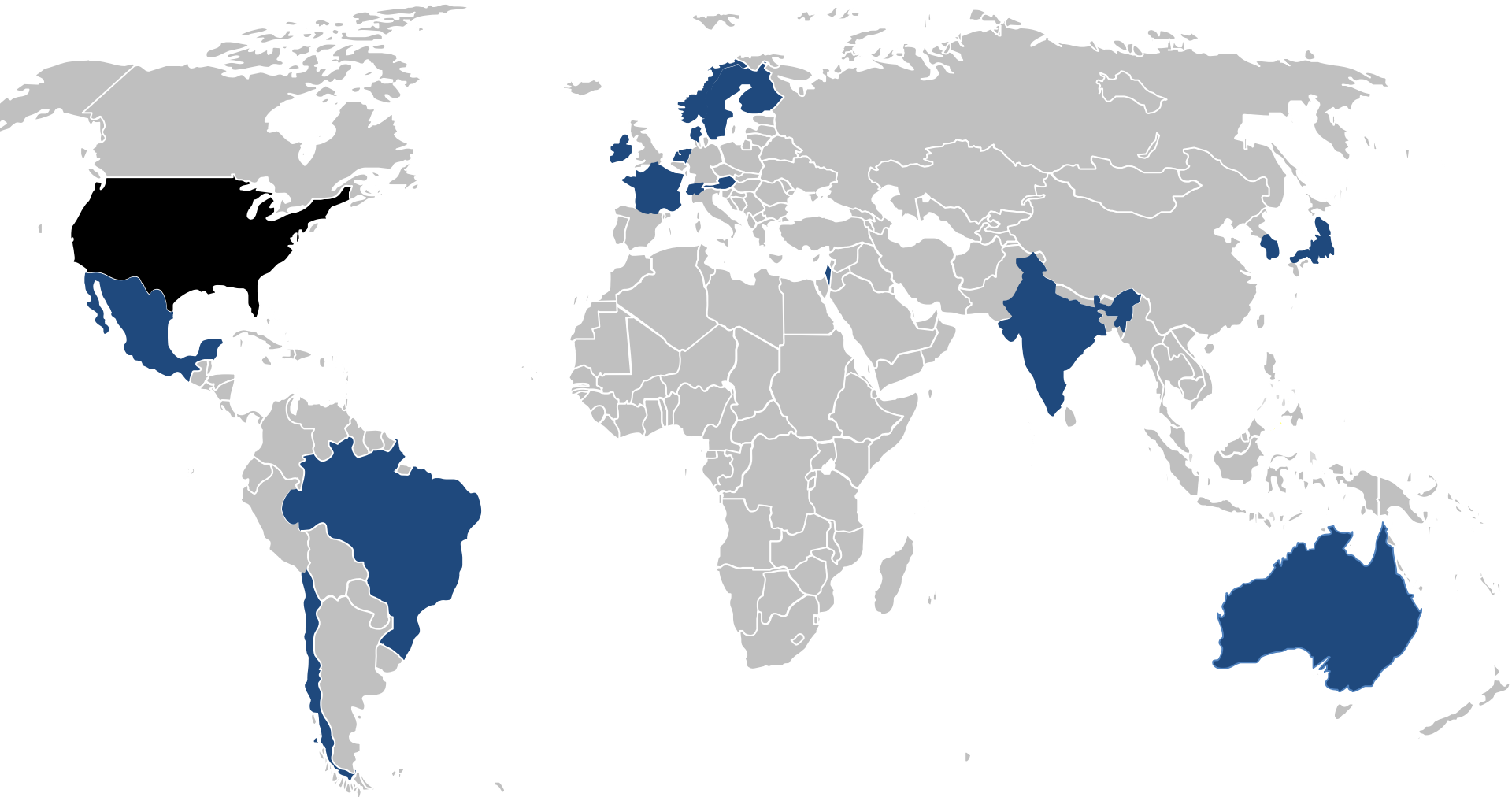
Switzerland

Denmark





# Partners





# What are the Benefits to Fellows?

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- \$5,000 Travel allowance
  - Additional in-country support from partner agency
  - \$5,000 Research allowance
  - Additional research support from partner agency
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- Access to facilities, data, equipment, field sites
  - New collaborations and expanded network
  - Skill development and exposure to different cultures (both international and domestic)





# Division of Graduate Education Portfolio

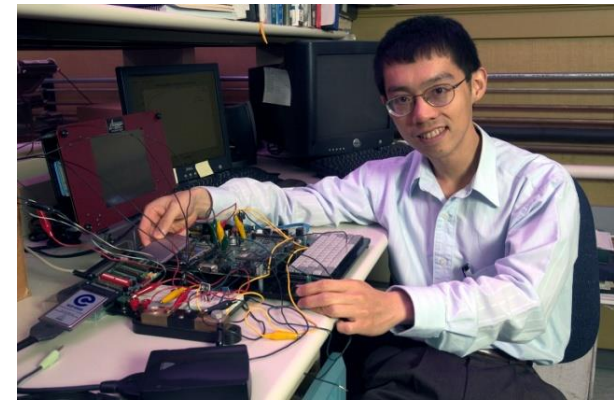
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# NSF Research Traineeship (NRT) Program

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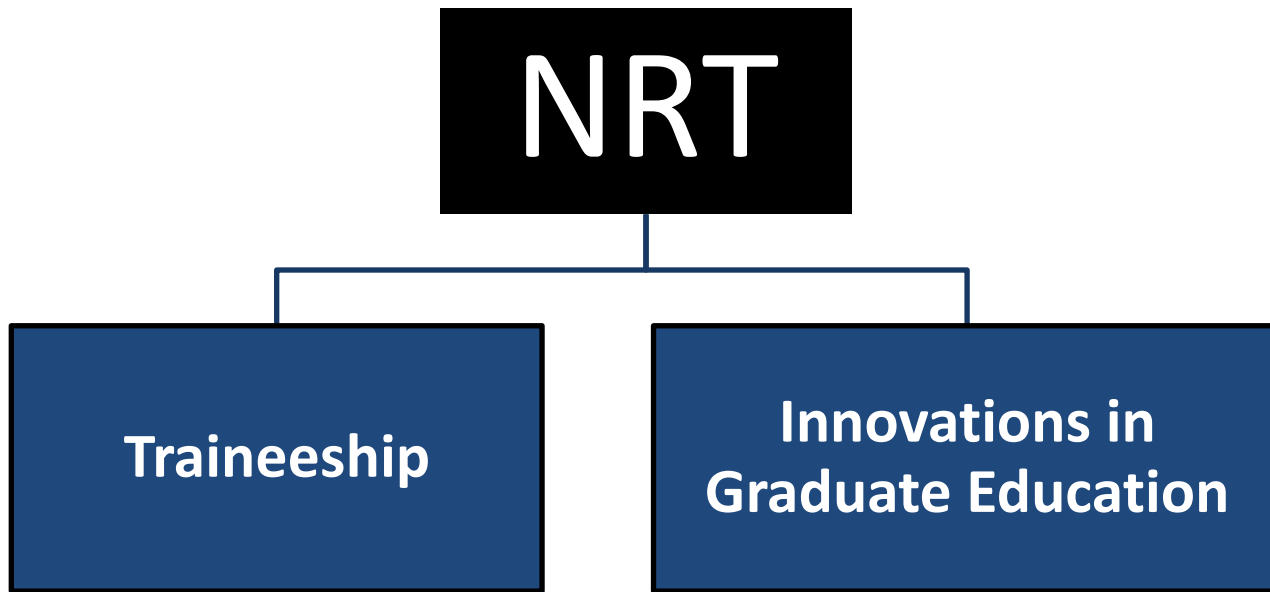
*Research and Capacity Building & Student Support*

- Launched in Spring 2014 as the successor to IGERT
- Encourages the development and implementation of **bold, new, and potentially transformative models** for STEM graduate education and training
- Seeks proposals that ensure that graduate students in research-based MS and PhD programs develop the skills, knowledge, and **competencies needed to pursue a range of STEM careers**



# NSF Research Traineeship (NRT) Program

*Research and Capacity Building & Student Support*



## 2016 Deadlines

Letter of Intent: **December 09, 2015**

Full Proposal: **February 09, 2016**

## 2017 Deadlines

Letter of Intent: **December 09, 2016**

Full Proposal: **February 07, 2017**



# How Do the Tracks Differ?

	<b>Traineeship Track</b>	<b>IGE Track</b>
<b>Primary Aim</b>	Comprehensive graduate student training	Pilot, test, and evaluate targeted new approaches, models and activities
<b>Interdisciplinary</b>	Yes	Not Required
<b>Stipend &amp; COE Support:</b>	Yes	No
<b>Duration/Amount</b>	Up to 5 years; < \$3 M	Up to 3 years, \$300K-\$500K
<b>Limit per Organization</b>	2	2
<b>Eligible Organizations</b>	US Institutions that award research-based master's and doctoral degrees	All organizations eligible to submit to the NSF



# FY 2016 Traineeship Priority Areas

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- **Data-Enabled Science & Engineering (DESE)**
- **Innovations at the Nexus of Food, Energy and Water Systems (INFEWS)**
- **Understanding the Brain (UtB)**
- **Other Crosscutting, Interdisciplinary Themes**



# NRT Addresses Graduate Preparedness

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- Develop innovative approaches to graduate education for MS and/or PhD students
- Expand/enhance professional development
- Encourage strategic collaborations with stakeholders (e.g., university-industry partnerships)
- Rely on existing evidence of effective practices in STEM education (evidence-based approaches)
- Generate new knowledge that promotes transformative improvements in graduate education



## III. Your Input

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**What should NSF be thinking about as we improve our programs and develop new initiatives to support graduate education?**