Welcome New Deans & Old Friends







What's New?

- ProQuest/UMI Updates
 - Copyright registrations
 - Book enhancements
 - RefWorks
 - UMI ETD Administrator enhancements
 - Survey Results
- Gradshare

UPDATES

- Discoverability Update
 - 200 million searches last year in PQDT of your student's dissertations and master's theses
 - New agreements with major Subject Indexes
 - Wilson Art Index
 - Institute of Physics
 - Math Scinet mathematics reviews
- Author copyright registrations.
 - Fulfills the mandatory deposit requirement
 - Quicker turnaround time

What are the benefits of copyright registration?

- Even though copyright protection is secured automatically upon creation, there are certain definite advantages to copyright registration. Registration establishes a public record of the copyright claim.
- Before an infringement suit may be filed in court, registration is necessary for works of U.S. origin. If made before or within five years of publication, registration establishes prima facie evidence in court of the validity of the copyright and of the facts stated in the certificate.
- If registration is made within three months after publication of the work or prior to an
 infringement of the work, statutory damages and attorney's fees will be available to the
 copyright owner in court actions.
- Also, registration allows the owner of the copyright to record the registration with the U.S.
 Customs Service for protection against importation of infringing copies.

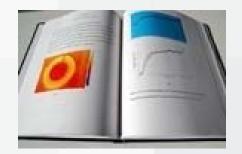
Source: http://www.copyright.gov/help/faq/mandatory_deposit.html



Author orders for dissertations







Each volume is rendered with respect for the scholarly research, featuring:

- Brilliant white 32 lb. paper stock
- Includes all color and graphics
- Durable hardbound copies made from 2.5 mm-thick board covered in washable linen.
- Titles are embossed in gold foil on the spine.



UMI ETD Administrator Enhancements

- Upgraded the refund procedures.
- Increased the file size from 100mb to 250mb.
- Revised the specification for margins.
- Lifetime Refworks accounts offered at point of submission.
- Created a Canadian UMI ETD Administrator with a French interface available in August
- Increased the list of fonts the tool will accommodate



Free Lifetime Refworks Account

- RefWorks is an online research management, writing, and collaboration tool designed to help researchers easily gather, manage, store, and share all types of information as well as generate citations and bibliographies.
- RefWorks supports hundreds of online databases and output styles covering a broad range of subject areas.
- ProQuest, BioOne, EBSCO, Elsevier, HighWire, H.W. Wilson, ISI, OCLC, Ovid, and Serial Solutions to name a few.



Results of 2010 Survey of ETD Site Administrators

- Survey of 275+ institutions who have had a ETD tool built (using or in trial mode).
- · Response rate:
 - Institutional 53%
 - Individual 31%
- Representative mix
 - Public and Private
 - Large and small
 - Nationwide distribution (yes, I did borrow your surv

Thank you to all schools who took the time to respond!!

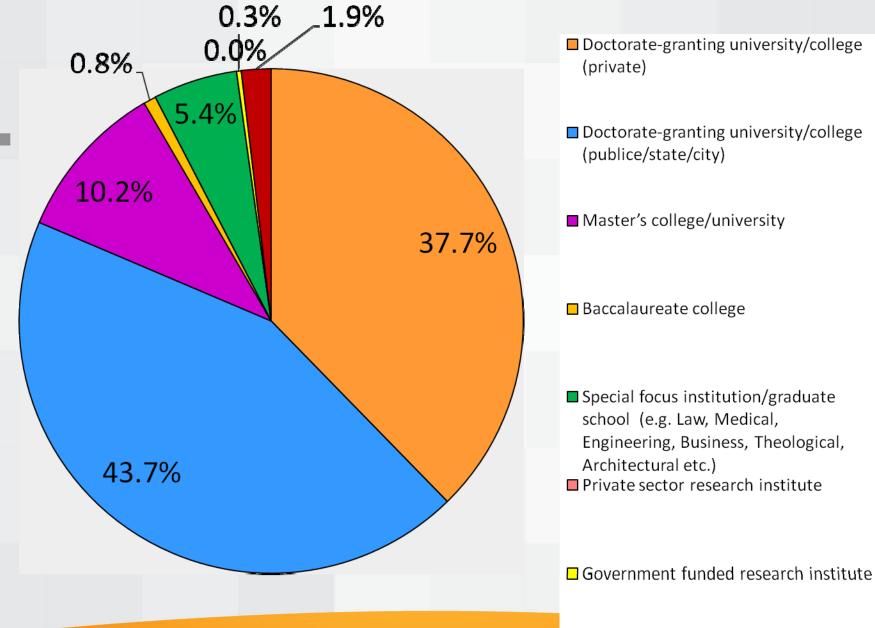


Primary Areas of Interest

- Profile of individuals involved with the process and the tool.
- Perceptions of their transition from paper to electronic submission
- Factors influencing decision to make the shift to ETDs
- Perceived benefits
- Cost Savings—units, users
- Innovative uses
- Future commitments to other electronic workflow processes

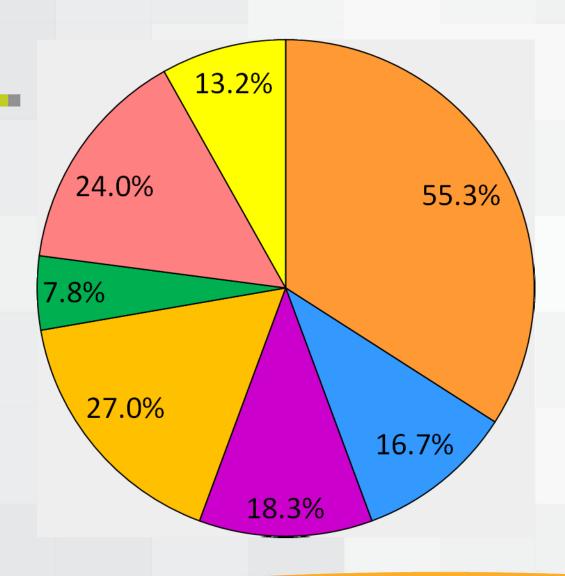


Which of the following most closely describes your institution?



Which of the following descriptions portray your role in your institution's processing of graduate student theses and dissertations?

[PLEASE CHECK ALL THAT APPLY]



- I oversee the administration of dissertations/theses as one of my main responsibilities
- I am a member of the library team involved in thesis/dissertation processing
- My daily job is mostly concerned with the actual processing of theses and dissertations
- □ I am responsible for the liaison between the library and graduate students
- I am directly involved in library purchase decisions
- I supervise staff who handle thesis/dissertation submissions
- □ Other (please specify)

Oversee D&T main responsibility	Member of the library team	Daily job processing of D&T	Liaison-the library and graduate students	Supervise staff	Other (please specify)
X	X		X		Coordinator of scholarly communications
X X		X		X	Director of Thesis and Dissertation Srvcs Director - Graduate School
X X		X	X	X	Assistant Director Registrar Student Affairs Officer
X X		X	x	X	Dissertation Supervisor
X X		X		X	Adjunct assistant professor of sociology Director of Academic Affairs
X X X	x	x	X	Х	Director of Admissions and Academic Srvcs Registrar University Registrar IR Coordinator
X	X		x		Archives and special collections librarian
х	X X X		X		Librarian Electronic Records Archivist Graduate Coordinator
	X	Х			Thesis cataloger
	X				IR Coordinator
	X			X	Library Financial Officer Head of the Dissertation Office
	X	V		X	
		X			Degree Completion Secretary

ProQuest

Does your institution accept ETDs only, or both ETD and 'paper' submissions?

Answer Options	Response Percent	Response Count
ETD only	51.8%	169
ETD and paper	38.3%	125
Other (please specify)	9.8%	32
	answered question	326
	skipped question	49



How long, approximately, have you been using the ETD tool?

Less than six months	months but less than a year	1 year – 2 years	3 years – 5 years	More than 5 years	Response Percent	Response Count
11	29	54	58	3	53.3%	155
27	17	40	24	7	39.5%	115
9	1	6	4	1	7.2%	21
						291
	six months 11 27	six but less than a year 11 29 27 17	six but less years years months than a year 11 29 54 27 17 40	six months but less than a year 1 year - 2 3 years - 5 years 11 29 54 58 27 17 40 24	six months but less than a years 1 year - 2 3 years - 5 years than 5 years 11 29 54 58 3 27 17 40 24 7 9 1 6 4 1 ansween	six months but less than a year 1 year - 2 3 years - years than 5 years Response Percent 11 29 54 58 3 53.3% 27 17 40 24 7 39.5%



How important was each of the following constituencies in your decision to move to

ETDs?





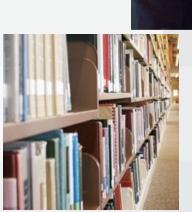


	NOT AT ALL IMPORTAN T	Unimp + Smwht UnIMP	Smwht Imp E + IMP	EXTREMELY IMPORTAN T	Response Count
Dean	10%	17%	37 %	36%	288
ETD site administrator	9%	19%	38%	34%	278
Library staff handling theses/dissertations	14%	18%	39%	30%	287
Graduate students	9%	20%	45%	26%	283
Associate Dean	24%	15%	36%	25%	274
Head Librarian	18%	17%	42%	24%	273
Faculty	16%	32%	41%	11%	275
Registrar's Office	57%	26%	12%	6%	269
Bindery Finance/Bursar's	46%	29%	19%	6%	270
Office Office	62%	26%	10%	1%	267

How important was each of the following factors in deciding whether or not to move to ETDs from paper?









Answer Options	NOT AT ALL IMPORTANT S	Unimp + mwht UnIMP	Smwht Imp + IMP	EXTREMELY IMPORTAN T	Response Count	
Cost reduction	10%	25%	40%	24%	287	
Ease of use for the student	3%	10%	45%	41%	298	
Library running out of shelf space	24%	26%	28%	22%	278	
Archival space saving/storage efficiency	11%	20%	41%	28%	290	
Saving library staff time	17%	26%	36%	21%	279	
Saving on document shipping/storage costs	10%	19%	43%	28%	286	
Move to 'paperless office'	10%	19%	42%	28%	287	
Eliminating internal bookkeeping activity	21%	27%	33%	19%	269	
Easier deposit in IR	14%	18%	40%	28%	269	
General workflow	4%	12%	49%	35%	288	
improvement Other (please specify)					41	

What have been the 2 or 3 largest benefits in moving from paper to ETDs?



The RULER Lady



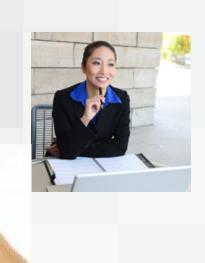
Answer Options	Response Percent	Response Count
Authors have 24/7 access to the systems	48.6%	153
Shipping has been eliminated	40.3%	127
Billings eliminated	18.4%	58
Authors are self-pays	27.6%	87
FTP deposits provide unlimited access	16.8%	53
Workflow efficiencies and process improvement	66.3%	209
Outsourcing the hosting of web applications is cost- effective,	10.2%	32
Eliminates the need for highly skilled full-time IT personnel,	4.4%	14
Provides superior security and disaster recovery capabilities,	19.4%	61
24x7 systems accessibility and ensures a high level of	37.1%	117
service	J/.1/0	11/
Other (please describe briefly)	12.7%	40
DroOuget	answered question	31
Prot Juest	skipped question	6

In your opinion, which of the following functions have (or will have) reduced costs by changing to ETD, according to your estimates? [PLEASE CHECK ALL THAT APPLY OR 'DID NOT ESTIMATE ...']

Answer Options	Response Percent	Response Count
Library	42.0%	132
Registrar	3.5%	11
Bindery	21.7%	68
Grad school administration	28.7%	90
Finance/Bursar's office	8.3%	26
Students	37.3%	117
Faculty	2.9%	9
Did not estimate cost savings	37.6%	118
	answered question	314
	skipped question	63



THE VOICES OF USERS









Interesting Feedback:

"Many reputable graduate school deans are suggesting that ETD is too expensive for the student and other IT ways of providing this service and access ought to be sought on campus."

Perspectives of Other Users:

- · "Cheaper, faster & better overall."
- "It is [in] fact, efficient, secure and economical, now."
- Less time to process. Student's do not have to print on expensive paper. Editing is much easier. Do not have to collect money. Cost effective. Faster processing. Less appointment times with students.

Advice to me from user:

"Many library directors I talk to are handling ETD with very little time to do so. Emphasize how long set up takes. Emphasize how easy upload is for students to do themselves."

Unexpected Applications of ETD Tool

Registrar's Office - has access to generate their own reports which are of tremendous help prior to commencements.

Other office personnel have access and can answer inquiries from students regarding his or her submission very easily.

Being able to use the reporting function to generate commencement program copy.



AREAS FOR FUTURE ENHANCEMENTS

- Customization
- Documentation
- Functional
- Institutional Repositories



Students find this system easy to use and like that it is available 24/7.

I like that it is so Streamline and we were to have to Collect model our own have to collect money any more. A great improvement!

It is critical and should software, I suspect that we would not use ETD's.

This is a God-send in my biased opinion. The elimination of paper submission is wonderful.

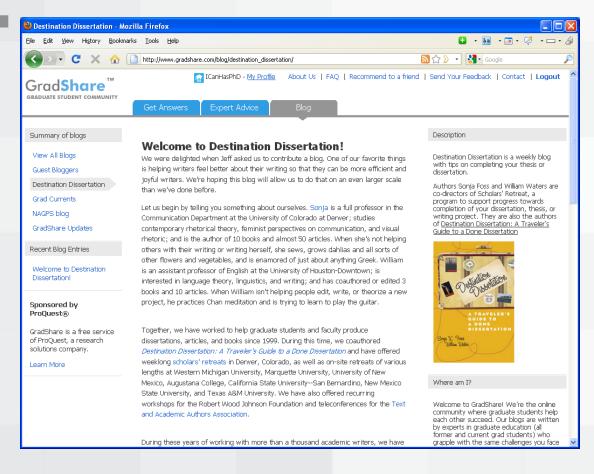
Cheaper, faster & better overall.

Less paperwork! The system keeps me very organized.

It provides a wonderful system for keeping track of submissions and associated metadata.



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Thank you.

